

Handling Ties

Analysis of Ties in Input and Output Data of Rankings



TECHNISCHE
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DARMSTADT

Outline



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Handling Ties

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Outline

Genuine Input Ties

Incorporating Ties

Perturbation Analysis

Real Data Sets

Induced Input Ties

Output Ties

Summary

- ▶ Genuine Input Ties
- ▶ Incorporating Ties
- ▶ Perturbation Analysis
- ▶ Real Data Sets
- ▶ Induced Input Ties
- ▶ Output Ties
- ▶ Summary

Genuine Input Ties



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Summary

- ▶ Tied results in the input data
- ▶ Frequency depends on
 - ▶ data source
 - ▶ tie resolution policy

Incorporating Ties



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Colley's Method
Massey's Method
Markov's Method
Elo's, Keener's, OD Method

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Summary

- ▶ Colley's method does not account for ties
- ▶ Markov's methods depends on voting mechanism used
- ▶ Elo's, Keener's, Massey's and OD method account for ties



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Summary

To compare methods with ties and methods without we need to

- ▶ derive a Colley's method accounting for ties
- ▶ create a Massey's method ignoring ties
- ▶ choose a Markov's method allowing for both
- ▶ modify Elo's, Keener's and the OD method



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Summary

$C * r = b$, with

- ▶ $C_{n \times n}$ having entries as follows

$$C_{ij} = \begin{cases} 2 + t_i, & i=j \\ -n_{ij}, & i \neq j \end{cases}$$

- ▶ $r_{n \times 1}$ being the unknown Colley rating vector
- ▶ $b_{n \times 1}$ defined as $b_j = 1 + \frac{1}{2}(w_j - l_j)$



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Summary

Ties in Colley's method

- ▶ are ignored
- ▶ represent an equal chance for either team winning - or losing
- ▶ can be emulated by creating two artificial games
- ▶ do not alter vector b
- ▶ increment C_{ij} by 1 and decrement C_{ji} by 1
- ▶ thus preserving the Colley property

$$\sum_{i=0}^n r_i = \frac{n}{2}$$



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$M * r = p$, with

- ▶ $M_{n \times n}$ having entries as follows

$$M_{ij} = \begin{cases} t_i, & i=j \\ -n_{ij}, & i \neq j \end{cases}$$

- ▶ $r_{n \times 1}$ being the unknown Massey rating vector
- ▶ $p_{n \times 1}$ being the vector of all teams' point differentials



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Summary

Ties in Massey's method

- ▶ are naturally accounted for
- ▶ increment M_{ij} and M_{ji}
- ▶ do not change p
- ▶ can be ignored when forming M to create a No-Ties Method



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Summary

Standard Markov voting procedures:

1. Loser casts one vote for each team lost against.
2. Loser casts one vote for each point lost to the other team.
3. Loser and winner cast one vote for each point lost to one another.



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Summary

In the context of ties:

1. Tied teams cast half a vote each for the other team.
2. Loser casts one vote for each point lost on average.
3. Ignore tied events for a no-ties variant.



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Ties in Elo's Method

- ▶ are explicitly taken care of
- ▶ can be ignored for a no-ties method



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Summary

Ties in Keener's and the OD Method

- ▶ are naturally accounted for
- ▶ can be excluded by setting tied scores to 0



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Summary

- ▶ Apply variants with and without ties to a data set devoid of ties
- ▶ Introduce a single tie into data set
- ▶ Compare the rankings produced by the variant methods



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Summary

Let

- ▶ C be the Colley matrix for the no-ties variant
- ▶ \tilde{C} denote the Colley matrix for the variant including ties
- ▶ e denote a tied input
- ▶ r be the rating vector related to C
- ▶ \tilde{r} be the rating vector related to \tilde{C}



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$$\tilde{C} = C + (e_i - e_j)(e_i - e_j)^T$$
$$\tilde{r} = r - \left(\frac{r_i - r_j}{1 + [C^{-1}]_{ii} - 2[C^{-1}]_{ij} + [C^{-1}]_{jj}} \right) C^{-1} (e_i - e_j)$$



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Summary

With $\epsilon = r_i - r_{i+1}$, $\epsilon > 0$ denoting the difference in pre-disturbance ratings this implies

$$\tilde{r}_i < \tilde{r}_{i+1} \iff$$
$$\epsilon < \left(\frac{(r_i - r_j)([C^{-1}]_{ii} - [C^{-1}]_{ij} - [C^{-1}]_{i+1,i} + [C^{-1}]_{i+1,j})}{1 + [C^{-1}]_{ii} - 2[C^{-1}]_{ij} + [C^{-1}]_{jj}} \right) * C^{-1}(e_i - e_j)$$

Let $r_i < r_j$:

- ▶ if $r_i \approx r_j$ teams i and j are unlikely to change in rank
- ▶ if $r_i \gg r_j$ team i is likely to drop in rank

Real Data Sets



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Movies

NHL Hockey Teams

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Movies



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Movies

NHL Hockey Teams

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Summary

Rank	Ties Ignored	Ties Incorporated	Change
1	Casablanca	Casablanca	-
2	Schindler's List	Schindler's List	-
3	Shawshank Redemption	Rear Window	1 up
4	Rear Window	Shawshank Redemption	1 down
5	The Godfather	The Godfather	-
6	Citizen Kane	To Kill a Mockingbird	2 up
8	To Kill a Mockingbird	Citizen Kane	2 down
11	Pulp Fiction	Raging Bull	3 up
12	It's a Wonderful Life	It's a Wonderful Life	-
13	Taxi Driver	Taxi Driver	-
14	Raging Bull	Pulp Fiction	3 down

NHL Hockey Teams



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Movies

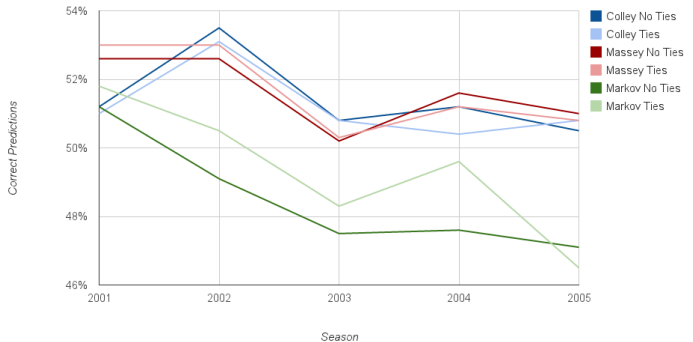
NHL Hockey Teams

Induced Input Ties

Output Ties

Summary

NFL No-Ties vs. Ties Comparison



Induced Input Ties



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- ▶ Modifications of input data
- ▶ Change non-tied events to a tie

Induced Input Ties



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Ties are often broken

- ▶ seemingly at random
- ▶ irrespective of the teams' actual performance
- ▶ after regular match time

Induced Input Ties



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Costa Rica

1 – 1

(5 – 3)

Greece



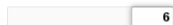
Scoring summary

Bryan Ruiz (#10) 52'



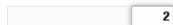
Socratis Papastathopoulos (#19)
90+1'

Team stats



Total shots

24



Shots on target

13



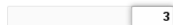
Fouls

16



Possession (%)

57%



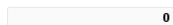
Corners

11



Saves

0



Offsides

10



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Final results may

- ▶ fail to portray the teams' actual strength
- ▶ create a false sense of precision
- ▶ skew the ranking
- ▶ impede a ranking's predictive capabilities



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Induce a tie if

- ▶ a winner is only determined after regular play time
- ▶ points differ only by a small margin
- ▶ match statistics indicate comparable performance

Analysis



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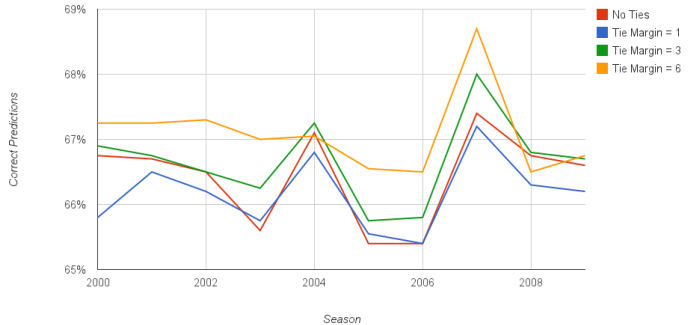
Methods

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Output Ties

Summary

Colley Induced Tie Predictions (NCAA)



Output Ties



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Recapitulation

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Recapitulation



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Rankings are total preorders, i.e. relations on a set S that are

- ▶ total $(\forall x, y \in S : x \leq y \vee y \leq x)$
- ▶ transitive $(\forall x, y, z \in S : x \leq y \wedge y \leq z \Rightarrow x \leq z)$
- ▶ but not antisymmetric $(\exists x, y \in S : x \leq y \wedge y \leq x \wedge x \neq y)$



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- ▶ Standard Competition Ranking ("1 2 2 4")
- ▶ Modified Competition Ranking ("1 3 3 4")
- ▶ Dense Ranking ("1 2 2 3")
- ▶ Ordinal Ranking ("1 2 3 4")
- ▶ Fractional Ranking ("1 2.5 2.5 4")



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- ▶ Psychological Effects
- ▶ Statistical Effects

Statistical Effects - Rank Sum Test



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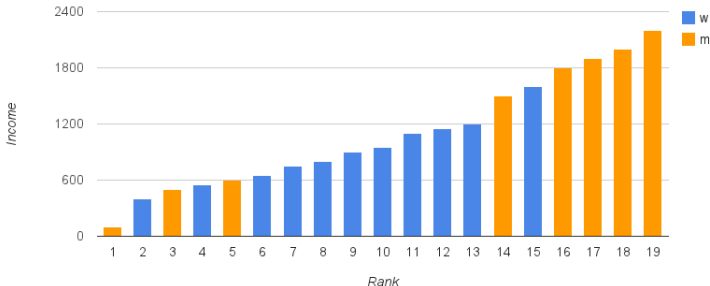
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Income Distribution (No Ties)



Statistical Effects - Rank Sum Test



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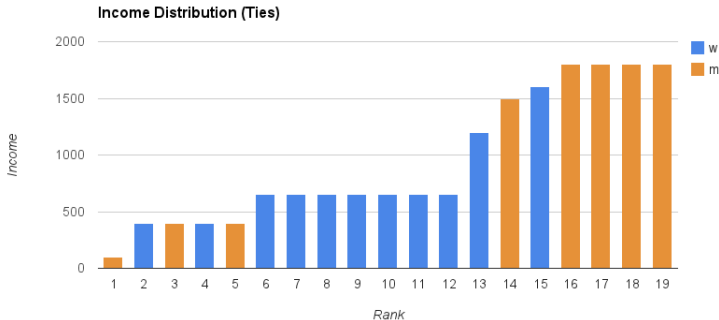
Output Ties

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Statistical Effects - Rank Sum Test



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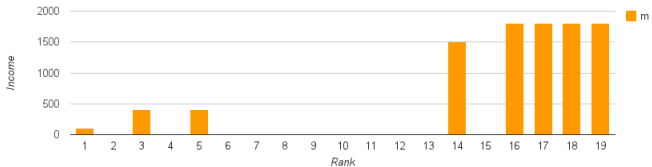
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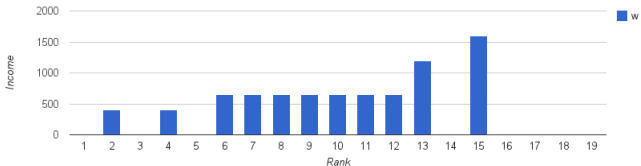
Ramifications

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Income Distribution (Ties)



Income Distribution (Ties)



Statistical Effects - Rank Sum Test



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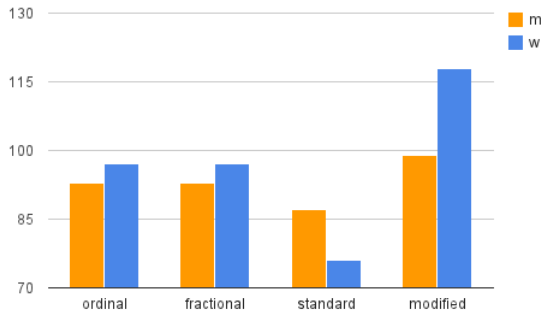
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Rank Sums



Summary



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- ▶ Accounting for ties is easy
- ▶ Input ties influence ranking order
- ▶ Inducing ties is beneficial
- ▶ Output ties require special care